

Updated Disposition Report instructions and address 11/2022  
No changes were made to records retention

# **Schedule 86**

# **OFFICE OF THE GOVERNOR**

**September 26, 2008**

Nebraska Records Management Division  
3242 Salt Creek Circle  
Lincoln, NE 68504  
(402) 471-2559

**REQUEST FOR APPROVAL  
OF RECORDS RETENTION  
AND DISPOSITION SCHEDULE**

SCHEDULE

**86**

AGENCY, BOARD OR COMMISSION

**OFFICE OF THE GOVERNOR**

DIVISION, BUREAU OR OTHER UNIT

**TO: STATE RECORDS ADMINISTRATOR  
STATE OF NEBRASKA**

**Supersedes edition of April 26, 2005**

**PART I -- AGENCY STATEMENT**

In accordance with Section 84-1212.01, R.R.S. 1943, approval of the attached records retention and disposition schedule by the State Records Administrator is hereby requested. Retention periods and dispositions have been recommended by this agency after a careful evaluation of all factors listed in Section 84-1212.01, R.R.S. 1943.

SIGNATURE

TITLE

DATE

*Dave Heineman*  
*Governor*

*9-19-08*

**PART II - ARCHIVAL APPROVAL**

The attached schedule has been analyzed, all archival and historical material has been properly identified, no disposition except by transfer to the State Archives has been recommended for such material, and this schedule is approved as submitted.

SIGNATURE

DATE

*Andrea I. Faling*

STATE ARCHIVES

*Sept-26, 2008*

**PART III -- APPROVAL BY STATE RECORDS ADMINISTRATOR**

The attached schedule has been reviewed in accordance with Section 84-1212.01, R.R.S. 1943, and is approved as submitted.

SIGNATURE

DATE

*John A. Gage*

STATE RECORDS ADMINISTRATOR

*Sept 24, 2008*

RMA 01005D

## **INSTRUCTIONS FOR USING THIS SCHEDULE**

Records retention and disposition schedules are designed to serve as your records management guideline for storing and disposing of agency records, ***regardless of the media on which they reside***, including paper, microfilm, diskettes, optical disks, CDs, DVDs, servers, computer hard drives, etc. This schedule was written specifically for records unique to your office and the State Agencies General Records Schedule #124 contains those records common to most state government agencies. This retention schedule, which is approved by the State Records Administrator, provides your only ongoing authority to dispose of records. Listed below are some basic procedures to follow when applying your schedule.

### **DISPOSING OF RECORDS**

1. Check your schedules to see what the retention period is. Note: Your agency's unique schedule will take precedence over State Agencies General Records Schedule #124 for any items which have differences in retention requirements.
2. Dispose of records that have met their retention periods. Unless there is pending or on going, legal action, records request, or audit.
3. For records requiring a review of, or transfer to the **NE State Historical Society (State Archives)**, your agency is required to contact the State Archives to negotiate the transfer. Additionally, **once the records are accessioned into their collection, they become the property of the State Archives** (Neb. Rev. Stat. §82-105). The State Archives may remove selected records in accordance with standard archival practices to ensure efficient access, organization and enduring historical value (Neb. Rev. Stat. §82-107). Please contact their office by calling (402) 471-4783.
4. Complete a Records Disposition Report for the records you dispose. The Records Disposition Report form is located on the Secretary of State Records Management website. [https://appengine.egov.com/apps/ne/sos\\_records\\_disposition\\_report](https://appengine.egov.com/apps/ne/sos_records_disposition_report). This report establishes that the destruction was performed in your normal course of business.

### **NON-SCHEDULED RECORDS**

Contact a Records Management Specialist in Records Management to see whether the records will fit under an item already on the schedule. If they do not, they must be retained until they are added to the next revision of your schedule.

### **SCHEDULE UPDATE**

It is the responsibility of each agency to periodically update their schedule. A Records Management Specialist in Records Management can assist you with the schedule update, which may involve adding new records series and making revisions to existing items. Keeping your schedule current will ensure you have the ongoing authority to discard records when their useful life has ended.

### **QUESTIONS**

If you have any questions about these procedures, please contact your agency Records Officer or the Records Management Specialist in Records Management. They will help you with any questions the schedule may present, including: transferring records to the State Records Center or microfilming records, scanning records, etc.

**Records Management Division  
3242 Salt Creek Circle  
Lincoln, NE 68504  
402-471-2559**

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## **SCHEDULE 86 – OFFICE OF THE GOVERNOR**

### **86-1      ADMINISTRATIVE RECORDS**

#### **86-1-1      ADMIRALSHIPS IN THE GREAT NAVY OF THE STATE OF NEBRASKA**

Database maintained regarding Admiralships processed for the Great Navy of the State of Nebraska.

**Transfer to the State Archives when the current Administration leaves office.**

#### **86-1-2      ANNUAL AND BIENNIAL AGENCY REPORTS**

Copies of state agency annual and biennial reports sent to the governor in compliance with various state statutes.

**Dispose of after superseded.**

#### **86-1-3      CORRESPONDENCE**

Includes administrative and general correspondence, but does not include personal thank you letters or miscellaneous cover letters responding to requests for photographs, autographs, etc.

**Transfer to the State Archives when the current Administration leaves office.**

#### **86-1-4      CORRESPONDENCE LOG**

Computerized log of sequentially numbered correspondence (number does not relate to file system). The log includes the number, addressee, town, subject and disposition. Also indicates if correspondence has been answered.

**Transfer concurrently with CORRESPONDENCE; see item #86-1-3.**

#### **86-1-5      CORRESPONDENCE, NOT ANSWERABLE**

Includes crank and anonymous letters, items with no return address, etc.

**Dispose of when no longer of administrative value.**

#### **86-1-6      EMERGENCY DECLARATIONS**

Declarations are issued in case of disaster or emergency.

**Transfer to the State Archives when the current Administration leaves office.**

#### **86-1-8      EXECUTIVE ORDERS**

Orders may be issued to establish a new plan or group.

**Transfer to the State Archives when the current Administration leaves office.**

#### **86-1-9      EXTRADITION AND REQUISITION ELECTRONIC DATABASE**

Requisitions and extraditions are listed numerically by extradition and requisition.

**Transfer to the State Archives when the current Administration leaves office.**

#### **86-1-10      GUBERNATORIAL AND JUDGESHIP APPOINTMENTS**

Electronic database of appointments to boards and commissions. A copy of the certificate of appointment for judgeships or boards and commissions.

**Transfer to the State Archives when the current Administration leaves office.**

**86-1-11 INVITATIONS (ACCEPTED AND DECLINED)**

Invitations to meetings and events and supporting documentation, including travel files.

**Dispose of when no longer of administrative value.**

**86-1-12 LETTERS AND NOTES OF CONGRATULATIONS, RECOGNITION OR RECOMMENDATION AND SUPPORT**

Letters and notes of congratulation, recognition and support regarding appointments to office, special occasions and letters of recommendation and support for employment or projects, etc.

**Dispose of when no longer of administrative value.**

**86-1-13 PROCLAMATIONS**

Proclamations for special events, days, months, and years.

**Transfer to the State Archives when the current Administration leaves office.**

**86-1-14 PROJECTS, SPECIAL ASSIGNMENTS**

General materials accumulated in areas where the Governor sees a problem and special research is being done. A final report may result from the accumulated materials.

**ACCUMULATED MATERIALS: Dispose of when no longer of administrative value.**

**FINAL REPORT: Transfer to the State Archives when current Administration leaves office.**

**86-1-15 REPORTS (GENERAL)**

May include copies of agency, consultant or committee reports sent upon request or as a courtesy.

**Dispose of when no longer of administrative value.**

**86-1-16 TELEPHONE MESSAGE LOG**

Incoming telephone messages for the Governor and Governor's Staff.

**Dispose of when no longer of administrative value.**

**86-1-17 TRAVEL REQUESTS**

Governor's copy of travel requests.

**Dispose of when no longer of administrative value.**

## **86-2      GENERAL COMMUNICATIONS AND CORRESPONDENCE**

Unless otherwise provided, this section applies to all correspondence or communications regardless of physical form or characteristics, including but not limited to written and electronic correspondence, communications, or transitory messages or messages with less than permanent retention period. These include voicemails, instant messages, facsimiles, emails, or similar data transmissions. Transitory messages are defined as communication where copies are posted or cc'd to several persons or casual and routine communication similar to a telephone conversation. These records may be retained in hardcopy format, electronic format, or by a combination of these two means. It is not necessary to have duplicate copies of the same record.

### **86-2-1      COMMUNICATIONS, SHORT-TERM (EPHEMERAL)**

Communications not related to state government transactions or activities. Also includes communications that maybe of a professional interest, but not pertaining directly to the function of the agency, i.e., the equivalent of a casual phone call, including transitory messages. Transitory messages are defined as communication where copies are posted or cc'd to several persons or casual and routine communication. It also includes verbal message(s) relayed via telephone to users which can also be transmitted or transferred into a variety of formats (i.e. verbal messages transferred or transmitted via email).

**Dispose of when no longer of administrative value.**

### **86-2-2      COMMUNICATIONS, LONG-TERM**

Communications containing information related to the mission of the agency, i.e., documents major transactions, establishes or implements policy, establishes legal rights or responsibilities, or has other long-term ramifications to the operation of the agency.

**Transfer to the State Archives when the current Administration leaves office.**

### **86-2-3      COMMUNICATIONS, INFORMATION DENIAL**

A file maintained according to Section 84-712.04, RRS 1943. Includes all communication and documentation relating to the denial of requests for records.

**Dispose of after 10 years.**

### **86-2-4      COMMUNICATIONS, PROJECT RELATED**

Communications related to a specific agency project. A project is an activity that has an identifiable beginning and end.

**Transfer to State Archives when the current Administration leaves office.**

## **86-3      MEDIA RELATIONS GENERAL RECORDS**

### **86-3-1      ARTICLES FOR PUBLICATION**

Articles written by the Governor that are published in various periodicals and pamphlets.

**Transfer to the State Archives when the current Administration leaves office.**

### **86-3-2      NEWS RELEASES**

All official news releases issued by the Governor.

**Transfer to the State Archives when the current Administration leaves office.**

### **86-3-3 PUBLIC SCHEDULE**

Governor's daily public schedule.

**Transfer to the State Archives when the current Administration leaves office.**

### **86-3-4 SPEECHES**

A copy of prepared remarks for speeches given by the Governor and kept for reference purposes.

**Transfer to the State Archives when the current Administration leaves office.**

## **86-4 NONRECORD MATERIAL SECTION**

NONRECORD MATERIAL as defined by the State Records Administrator's Rule 430 Chapter 5 Section .004.02 includes:

- a. Library or museum material made or acquired and preserved solely for reference or exhibition purposes;
- b. Extra copies of documents preserved only for convenience or reference;
- c. Stocks of publications, reproduced documents, or other printed materials preserved for supply purposes;
- d. Extra copies of circulated materials of which official copies have been retained for purposes of record;
- e. Reading files and follow-up correspondence copies;
- f. Identical or carbon copies of documents maintained in the same file, including any method of duplication;
- g. Draft copies or work copies of documents for which the final version has been completed;
- h. Letters of transmittal that add nothing to the transmitted information;
- i. Interoffice memoranda;
- j. Shorthand notes, stenotype tapes, or sound recordings after they have been transcribed;
- k. Internal housekeeping materials.

**NONRECORD MATERIAL may be destroyed at any time by the agency. No RECORDS DISPOSITION REPORT (RMA 03006B) is required.**

## **DELETED RECORDS**

**86-1-7 PROJECT FILE, LEGISLATIVE BILL**